Microbial Transformation of Hypoestenone

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Preparative-scale fermentation of hypoestenone (1) with Mucor ramannianus (ATCC 9628) has resulted in the isolation of $8(9)\alpha$ -epoxyhypoestenone (2) and the new metabolites $8(9)\alpha$ -epoxy-12,13-anhydrohypoestenone (3), 17-hydroxyhypoestenone (4), 13α , 18-dihydroxyhypoestenone (5), and 13β , 18-dihydroxyhypoestenone (6). Structure elucidation of these metabolites was based primarily on 1D and 2D NMR analyses.

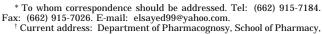
Fusicoccanes are significant biosynthetic plant growth regulators.¹ This group of diterpenoids possessing a dicyclopenta[a, d]cyclooctane (5 \rightarrow 8 \rightarrow 5 ring system) occurs in fungi, lower and higher plants, and insects.¹ Fusicoccanes bear structural resemblance to gibberellins.^{1,2} Fusicoccin, the first member of this group reported from the phytopathogenic fungus Fusicoccum amygdali Del.,³ was found to stimulate plant growth through the elongation mechanism, promotion of the opening of leaf stomata, acceleration of seed germination, and induction of root formation.^{1,4}

Hypoestenone (1) is a fusicoccane diterpene ketone reported from the aerial parts of Hypoests forskalei (Acanthaceae).⁵ The structure and relative stereochemistry of 1 were based on 1D and 2D NMR data in addition to X-ray crystallographic analysis of its 8(9)α-epoxy derivative.⁵ Hypoestenone (1) was chosen for a microbial bioconversion study in an attempt to prepare new bioactive analogues. The present study represents the first microbial metabolism study of a member of this significant class of bioactive natural products. Fungi have enzyme systems similar to those of mammals since they are eukaryotic.⁶ Hence, many fungi have recently been used as in vitro models for predicting mammalian drug metabolism.⁷

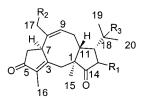
Twenty-three growing microbial cultures were screened for their ability to biotransform 1. Few cultures were able to transform 1 to more polar metabolites. Mucor ramannianus ATCC 9628 was selected for preparative-scale fermentation because it entirely depleted 1 and converted it into five more polar metabolites (2-6).

The ¹H and ¹³C NMR data of 2 indicated that it was $8(9)\alpha$ -epoxyhypoestenone (2), which was previously reported as a semisynthetic derivative of 1.5 The reported structure and relative stereochemistry of 2 was based on X-ray crystallography.⁵ This is the first report of **2** as a natural product.

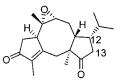
The HRFTMS spectrum of 3 displayed a molecular ion peak at m/z 315.1988 [M + H]⁺, suggesting the molecular formula C₂₀H₂₆O₃ and eight degrees of unsaturation. The ¹H and ¹³C NMR data of 3 suggested close similarity with **2**, with an additional $\Delta^{12,13}$ system. A broad proton singlet resonating at δ 5.94 was assigned as H-13 on the basis of its ³JHMBC correlation with C-1 and C-11. The downfield quaternary carbon at δ 184.0 was assigned as C-12 on the basis of its ³JHMBC correlation with both methyl doublets H₃-19 and H₃-20 as well as with H₂-10. Hence, metabolite **3** was shown to be $8(9)\alpha$ -epoxy-12,13-anhydrohypoestenone.



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	R_1	R_2	R_3
Hypoestenone (1)	Η	Η	Η
17-Hydroxyhypoestenone (4)	Η	OH	Η
13α , 18-Dihydroxyhypoestenone (5)	$\alpha\text{-}OH$	Н	OH
13β , 18-Dihydroxyhypoestenone (6)	β-ΟΗ	Η	OH



	Other
8(9)α-Epoxyhypoestenone (2)	
$8(9)\alpha$ -Epoxy-12(13)-anhydrohypoestenone (3)	$\Delta^{12,13}$

The HRFTMS spectrum of 4 displayed a molecular ion peak at m/z 317.2131 [M + H]⁺, suggesting the molecular formula C₂₀H₂₈O₃ and indicated that 4 was a monohydroxylated derivative of 1. The IR absorption band at v_{max} 3450 cm⁻¹ confirmed hydroxylation of **1**. The ¹H and ¹³C NMR data of 4 indicated allylic hydroxylation at C-17. The oxygenated methylene proton doublets resonating at δ 4.03 and 3.93 were assigned to a C-17 hydroxymethylene group. This was based on the ³J HMBC correlation of H₂-17 with C-7 and the downfield olefinic C-9 methine carbons, in addition to their ${}^{2}J$ HMBC correlation with the guaternary olefinic carbon C-8. Therefore, metabolite 4 was established as 17-hydroxyhypoestenone.

Metabolites 5 and 6 both analyzed for the molecular formula C₂₀H₂₈O₄, which suggested they were dihydroxyhypoestenone derivatives. The IR and ¹H and ¹³C NMR data of **5** and **6** indicated hydroxylation at C-13 and C-18. The quaternary carbons resonating at δ 72.4 and 73.6 were assigned to C-18 in 5 and 6, respectively. These assignments were based on the ²J HMBC correlation of C-18 with the methyl singlets H₃-19, H₃-20 and the proton H-12 in both compounds. Carbon C-18 of 5 and 6 also displayed a ³JHMBC correlation with H-11. The downfield oxygenated protons resonating at δ 3.91 and 4.44 were assigned to H-13 in 5 and 6, respectively, on the basis of the ${}^{3}J$ HMBC correlation of H-13 in each of 5 and 6 with C-1, C-11, and C-18 (Figure 1). H-13 also showed ²J HMBC correlation with C-12 and the ketone C-14. The difference in the proton

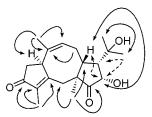


Figure 1. Important HMBC (solid arrows) and NOESY (dotted arrow) correlation of 5.

chemical shift value (0.53 ppm) and the splitting pattern of H-13, in addition to the significant difference in carbon chemical shift value (10.8 ppm) between C-13 in both **5** and **6**, suggested the epimeric nature of the compounds at this position. Assignment of relative stereochemistry at C-13 was based on NOESY data. In **5**, H-13 displayed strong NOESY correlation with the β -oriented protons H-11 and H-12 (Figure 1), suggesting a similar stereo orientation. On the other hand, H-13 in **6** was proved to be α -oriented because it displayed a NOESY correlation with the α -oriented methyl singlet H-15. Metabolites **5** and **6** were therefore identified as 13 α ,18-dihydroxyhypoestenone and 13 β ,18-dihydroxyhypoestenone, respectively.

Compounds **1**–**6** were tested for antimicrobial activity against a wide range of microorganisms using microtiterplate assay.¹⁰ Hypoestenone (**1**) and 8(9) α -epoxy-hypoestenone (**2**) show moderate antimicrobial activity against *Cryptococcus neoformans* with MIC 20 and 50 μ g/mL, respectively. Hypoestenone also shows moderate activity against *Pseudomonas aeruginosa* with MIC 45 μ g/mL and *Plasmodium falciparium* (W2 Clone) with IC₅₀ 2800 ng/ mL and selectivity index >1.7.

Experiment Section

General Experimental Procedures. Melting points were determined on a Thomas-Hoover capillary melting point apparatus and are uncorrected. UV spectra were run on a Perkin-Elmer Lambda 3B UV/vis spectrophotometer. The IR spectra were recorded on a ATI Mattson Genesis Series FTIR spectrophotometer. The ¹H and ¹³C NMR spectra were recorded in CDCl₃, on a Bruker DRX NMR spectrometer operating at 400 MHz for ¹H and 100 MHz for ¹³C NMR. The LRMS data were obtained using an E.I. Finnigan model 4600 (70 eV ionization potential) quadruple system. HRMS spectra were measured on a Bioapex FTMS with electrospray ionization. TLC analyses were carried out on precoated silica gel G₂₅₄ 500 μ m (E-Merk) plates, with the following developing system: EtOAc-cyclohexane (4:5). For column chromatography, silica gel 60, 40 μ m was used.

Chemicals. Hypoestenone (1) was isolated from a Saudi Arabian collection of *Hypoestes verticillaris* (Acanthaceae) using the previously reported procedure.⁵ The compound was authenticated by comparing its R_f and mp values with those of a standard hypoestenone sample, kindly provided by Dr. Farouk El-Feraly of King Saud University, and comparison of its ¹H and ¹³C NMR data with the literature.⁵

Organisms. Microbial metabolism studies were conducted as previously reported.^{8,9} Twenty-three microbial cultures, obtained from the University of Mississippi, Department of Pharmacognosy culture collection, were used for screening. These microbes were reported earlier,^{9,11} in addition to *Bullera alba ATCC 18568, Cylinderocephalum aureum* ATCC 12720, *Mucor griseo-cynus* ATCC 1207a, *Penicillium frequentans* ATCC 10444, *Penicillium frequentans* UM-ATCC 10444, *Piptocephalis corymbifera* ATCC 12665, *Ramichloridium anceps* ATCC 15672, *Rhizopogen* species ATCC 36060, *Rhodotorula glutinus* ATCC 15125, *Schizosaccharomyces pombe* ATCC 20130, *Septomyxa affinis* ATCC 6737, *Talaromyces ucrainicus* ATCC 18352, Tricophyton mentagrophytes ATCC 9972, Volutella buxi ATCC 13545, and Zopfiella pleuropora ATCC 18994.

Microbial Metabolism of Hypoestenone (1). M. ramannianus ATCC 9628 was grown in 11 1-L culture flasks, each containing 250 mL of compound medium α .⁹ A total of 275 mg of 1 was mixed with 1.3 mL of DMF and evenly distributed among the stage II (24 h) cultures at a concentration of 25 mg/1 L flask. After 7 days, the incubation mixtures were pooled and filtered. The filtrate (2.5 L) was exhaustively extracted with CHCl₃ (4 \times 2 L), which were then dried over anhydrous Na₂SO₄ and evaporated under reduced pressure. The residue (480 mg) was flash chromatographed over 50 g of silica gel 60 using cyclohexane, gradient elution with increasing proportions of ethyl acetate, and finally MeOH. The polar fractions were subjected to repeated prep TLC on silica gel G (EtOAccyclohexane, 4:5) to afford **2** (4.1 mg, R_f 0.45), **3** (1.6 mg, R_f 0.43), 4 (4.6 mg, R_f 0.21), 5 (2.6 mg, R_f 0.20), and 6 (1.4 mg, R_f 0.15)

8(9)α-**Epoxyhypoestenone (2):** colorless needles, mp 176–178 °C, [α]²⁵_D+68 (*c* 0.14, CHCl₃); UV λ_{max} (log ϵ) (MeOH) 237 (3.39) nm; IR ν_{max} (CHCl₃) 1740 (C=O), 1690 (C=O), 1630, 1070, 890 cm⁻¹; ¹H and ¹³C NMR, identical to the literature.⁵

8(9)a-Epoxy-12,13-anhydrohypoestenone (3): colorless needles, mp 160–162 °C, $[\alpha]^{25}_{D}$ +11 (*c* 0.18, CHCl₃); UV λ_{max} (log ϵ) (MeOH) 236 (3.25) nm; IR ν_{max} (CHCl₃) 1700 (C=O), 1690 (C=O), 1630, 1450, 1365, 1070, 890 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) δ 5.94 (1H, brs, H-13), 3.65 (1H, d, J = 16.9Hz, H-2a), 3.03 (1H, dd, J = 9.6, 5.5 Hz, H-9), 2.85 (1H, brd, J = 7.1 Hz, H-7), 2.70 (1H, ddd, J = 13.6, 9.3, 5.7 Hz, H-10a), 2.67 (1H, m, H-11), 2.64 (1H, brq, J=6.9 Hz, H-18), 2.58 (2H, m, H₂-6), 1.82 (3H, brs, H₃-16), 1.60 (1H, m, H-10b), 1.23 (3H, d, J = 6.9 Hz, H₃-19),^{*a*} 1.20 (3H, d, J = 7.0 Hz, H₃-20),^{*a*} 1.10 (3H, s, H₃-17), 0.96 (3H, s, H₃-15); ¹³C NMR (CDCl₃, 100 MHz) δ 209.3 (s, C-14), 207.6 (s, C-5), 184.0 (s, C-12), 167.3 (s, C-3), 141.6 (s, C-4), 123.3 (d, C-13), 64.8 (d, C-9), 59.7 (s, C-8), 52.2 (s, C-1), 52.0 (d, C-11), 45.0 (d, C-7), 36.4 (t, C-6), 34.0 (t, C-2), 29.2 (d, C-18), 23.1 (t, C-10), 21.1 (q, C-15), 20.4 (q, C-20),^b 20.3 (q, C-19),^b 16.7 (q, C-17), 8.6 (q, C-16), ^{a,b}Interchangeable assignments; LREIMS m/z 314 (M)⁺; HRFTMS m/z calcd for $C_{20}H_{27}O_3 (M + H)^+$ 315.1960, found 315.1988.

17-Hydroxyhypoestenone (4): colorless needles, mp 118-120 °C, $[\alpha]^{25}_{D} + 80$ (*c* 0.10, CHCl₃); UV λ_{max} (log ϵ) (MeOH) 204 (3.85), 239 (3.95) nm; IR ν_{max} (CHCl₃) 3450 (OH), 1740 (C=O), 1695 (C=O), 1635, 1465, 1395, 1065 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) δ 5.99 (1H, dd, J = 8.2, 8.1 Hz, H-9), 4.03 (1H, brd, J = 12.5 Hz, H-17a), 3.93 (1H, d, J = 12.5 Hz, H-17b), 3.93 (1H, brs, H-7), 3.31 (1H, d, J = 15.3 Hz, H-2a), 2.67 (1H, m, H-10a), 2.58 (1H, m, H-6a), 2.51 (1H, m, H-6b), 2.50 (1H, m, H-13a), 2.48 (1H, m, H-10b), 2.41 (1H, m, H-13b), 2.15 (1H, m, H-11), 2.05 (1H, m, H-12), 1.95 (1H, m, H-18), 1.90 (1H, d, J = 15.3 Hz, H-2b), 1.77 (3H, brs, H₃-16), 1.07 (3H, d, J = 7.0Hz, H₃-20),^{*a*} 0.95 (3H, d, J = 7.0 Hz, H₃-19),^{*a*} 0.92 (3H, s, H₃-15); ¹³C NMR (CDCl₃, 100 MHz) δ 220.0 (s, C-14), 209.5 (s, C-5), 171.5 (s, C-3), 138.5 (s, C-4), 137.0 (s, C-8), 131.6 (d, C-9), 64.5 (t, C-17), 54.9 (d, C-11), 50.0 (s, C-1), 44.8 (d, C-12), 42.8 (d, C-7), 42.5 (t, C-13), 36.8 (t, C-6), 36.7 (t, C-2), 31.5 (d, C-18), 26.5 (t, C-10), 24.0 (q, C-20),^b 23.7 (q, C-19),^b 17.1 (q, C-15), 8.7 (q, C-16), ^{*a,b*}Interchangeable assignments; LREIMS *m*/*z* 316 (M)⁺; HRFTMS m/z calcd for C₂₀H₂₉O₃ (M + H)⁺ 317.2117, found 317.2131

13α,**18**-**Dihydroxyhypoestenone (5):** colorless needles, mp 141–142°, $[\alpha]^{25}_{\rm D}$ +33 (*c* 0.12, CHCl₃); UV $\lambda_{\rm max}$ (log ϵ) (MeOH) 204 (3.85), 239 (3.61) nm; IR $\nu_{\rm max}$ (CHCl₃) 3250–3480 (OH), 1735 (C=O), 1695 (C=O), 1635, 1460, 1395, 1065 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) δ 5.76 (1H, dd, J = 8.3, 7.9 Hz, H-9), 4.01 (1H, brs, H-7), 3.91 (1H, s, H-13), 3.24 (1H, d, J = 15.7 Hz, H-2a), 2.56 (1H, m, H-6a), 2.53 (1H, m, H-6b), 2.51 (1H, m, H-10a), 2.28 (1H, m, H-10b), 2.23 (1H, d, J = 16.0 Hz, H-2b), 1.98 (1H, m, H-11), 1.95 (1H, m, H-12), 1.75 (3H, s, H₃-16), 1.56 (3H, s, H₃-17), 1.39 (3H, s, H₃-19),^{*a*} 1.34 (3H, s, H₃-20),^{*a*} 0.72 (3H, s, H₃-15); ¹³C NMR (CDCl₃, 100 MHz) δ 216.0 (s, C-14), 209.0 (s, C-5), 173.2 (s, C-3), 139.1 (s, C-4), 135.5 (s, C-8), 127.8 (d, C-9), 84.8 (d, C-7), 37.4 (t, C-6), 36.7 (t, C-2), 28.9 (q, C-19),^{*b*} 28.8 (q, C-20),^{*b*} 27.1 (t, C-10), 19.1 (q,

C-17), 12.3 (q, C-15), 9.0 (q, C-16), ^{a,b}Interchangeable assignments; LREIMS m/z 332 (M)+; HRFTMS m/z calcd for C₂₀H₂₉O₄ $(M + H)^+$ 333.2060, found 333.2057.

13β,18-Dihydroxyhypoestenone (6): colorless needles, mp 145–147°, $[\alpha]^{25}_{D}$ +13 (c 0.10, CHCl₃); UV λ_{max} (log ϵ) (MeOH) 205 (3.85), 239 (3.60) nm; IR ν_{max} (CHCl₃) 3250–3490 (OH), 1730 (C=O), 1690 (C=O), 1630, 1460, 1390, 1055 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) δ 5.76 (1H, dd, J = 8.3, 7.9 Hz, H-9), 4.44 (1H, d, J = 6.6, H-13), 3.92 (1H, brs, H-7), 3.46 (1H, d, J = 15.8 Hz, H-2a), 3.07 (1H, dd, J = 14.1, 7.8, H-10a), 2.54 (1H, m, H-10b), 2.51 (1H, m, H-6a), 2.49 (1H, m, H-6b), 2.49 (1H, d, J = 15.8 Hz, H-2b), 2.33 (1H, dd, J = 11.6, 11.1, H-11),2.23 (1H, dd, J = 11.6, 6.6, H-12), 1.77 (3H, s, H₃-16), 1.49 (3H, s, H₃-17), 1.46 (3H, s, H₃-19),^a 1.43 (3H, s, H₃-20),^a 1.11 (3H, s, H₃-15); ¹³C NMR (CDCl₃, 100 MHz) & 216.1 (s, C-14), 209.5 (s, C-5), 172.5 (s, C-3), 139.5 (s, C-4), 135.0 (s, C-8), 128.7 (d, C-9), 74.0 (d, C-13), 73.6 (s, C-18), 54.2 (d, C-11), 50.8 (d, (d, C -12), 49.2 (s, C -1), 43.0 (d, C -7), 37.3 (t, C -6), 34.9 (t, C -2), 32.5 (q, C -19), ^b 30.1 (q, C -20), ^b 23.8 (t, C -10), 18.9 (q, C -17), 17.8 (q, C -15), 9.1 (q, C -16), ^{a,b}Interchangeable assignments; LREIMS m/z 332 (M)⁺; HRFTMS m/z calcd for C₂₀H₂₉O₄ (M + H)⁺ 333.2060, found 333.2045.

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